

04-26-01

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PTO/SB/05 (12/97)

type a plus sign (+) inside this box → ☐Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY

Attorney Docket No. X-12449A

PATENT APPLICATION

First Named Inventor or Application Identifier

TRANSMITTAL

Charles Jack Fisher

Express Mail Label No.

EM564068295US

Application Elements

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 19]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets ☐
4. ☐ Oath or Declaration [Total Pages 3]
☐ Newly executed (original or copy)
- ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d) (2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/444,479 filed November 19, 1999.

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label		(Insert Customer No. Or Attach bar code label here)		Correspondence address below	
NAME	BRIAN P. BARRETT				
	Eli Lilly and Company				
ADDRESS	Lilly Corporate Center				
	Patent Division DC: 1104				
CITY	Indianapolis	STATE	Indiana	ZIP CODE	46285
COUNTRY	U.S.A.	TELEPHONE	317-276-7243	FAX	317-276-3861
"Express Mail" mailing label number EM564068295US					
Date of Deposit April 25, 2001					
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.					
Printed Name			Signature		

986 U.S. PTO
09/842337
04/25/01

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PTO/SB/17 (12/97)

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FEE TRANSMITTAL		Complete if Known	
Note: Effective November 10, 1997. Patent fees are subject to annual revision.		Application Number	
TOTAL AMOUNT OF PAYMENT (\$) 710.00		Filing Date	
METHOD OF PAYMENT (check one)		First Named Inventor	Charles Jack Fisher
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		Group Art Unit	
Deposit Account Number 05-0840		Examiner Name	
Deposit Account Name Eli Lilly and Company		Attorney Docket Number	X-12449A
2. <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		FEE CALCULATION (continued)	
<input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		3. ADDITIONAL FEES	
<input type="checkbox"/> Payment Enclosed:		Large Fee Code Entity Fee (\$)	
<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Small Fee Code Entity Fee (\$)	
FEE CALCULATION		Fee Description	
1. FILING FEE		Fee Paid	
Large Fee Code Entity Fee (\$)		105 130 205 65 Surcharge-late filing fee or oath	
Small Fee Code Entity Fee (\$)		127 50 227 25 Surcharge-late provisional filing fee or cover sheet.	
Fee Description		139 130 139 130 Non-English specification	
Fee Paid		147 2,520 147 2,520 For filing a request for reexamination	
SUBTOTAL (1) (\$) 710		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
2. CLAIMS		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Extr a Fee from below Fee Paid		115 110 215 55 Extension for reply within first month	
Legal Claims -20**= X 18 =		116 390 216 195 Extension for reply within second month	
Independent Claims -3**= X 80 =		117 890 217 445 Extension for reply within third month	
Multiple Dependent Claims (first time) X 270 =		118 1,390 218 695 Extension for reply within fourth month	
Large Fee Code Entity Fee (\$)		128 1,890 228 945 Extension for reply within fifth month	
Small Fee Code Entity Fee (\$)		119 310 219 155 Notice of Appeal	
Fee Description		120 310 220 155 Filing a brief in support of an appeal	
103 18 203 9 Claims in excess of 20		121 270 221 135 Request for oral hearing	
102 80 202 39 Independent claims in excess of 3		138 1,510 138 1,510 Petition to institute a public use proceeding	
104 270 204 135 Multiple dependent claim		140 110 240 55 Petition to revive-unavoidable	
109 78 209 39 Reissue independent claims over original patent		141 1,240 241 620 Petition to revive-unintentional	
110 18 210 9 Reissue claims in excess of 20 and over original patent		142 1,210 242 605 Utility issue fee (or reissue)	
SUBTOTAL (2) (\$)		143 430 243 215 Design Issue Fee	
**or number previously paid, if greater; For Reissues, see above		144 580 244 290 Plant Issue Fee	
SUBMITTED BY		122 130 122 130 Petitions to the Commissioner	
Typed or Printed Name Brian P. Barrett		123 50 123 50 Petitions related to provisional applications	
Signature <i>Brian P. Barrett</i>		126 240 126 240 Submission of Information Disclosure Stmt.	
"Express Mail" mailing label number EM564068295US		581 40 581 40 Recording each patent assignment per property (times number of properties)	
Date of Deposit April 25, 2001		146 710 246 355 Filing a submission after final rejection (37 CFR 1.129(a))	
I hereby certify that this paper of fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20531.		149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b))	
Printed Name Thomas		179 710 279 355 Request for Continued Examination (RCE)	
Signature <i>Thomas</i>		169 900 169 900 Request for expedited examination of a design application	
Other fee (specify)		Other fee (specify)	
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)	
Complete (if applicable)		Reg. Number 39,597	
Date April 24, 2001		Date	